DISTRICT OF COLUMBIA + + + + + ALCOHOLIC BEVERAGE CONTROL BOARD + + + + + MEETING

IN THE MATTER OF: : : On the Rocks, LLC, : t/a On the Rocks : Fact Finding 1242 H St NE : Hearing License #106695 : Retailer CT : ANC 6A : (Request for Reinstatement: of License Privileges :

> Wednesday March 20, 2019

The Alcoholic Beverage Control Board met in the Alcoholic Beverage Control Hearing Room, Reeves Building, 2000 14th Street, N.W., Suite 400S, Washington, D.C. 20009, Chairperson Donovan W. Anderson, presiding.

PRESENT:

DONOVAN W. ANDERSON, Chairperson NICK ALBERTI, Member BOBBY CATO, JR., Member JAMES SHORT, Member MIKE SILVERSTEIN, Member REMA WAHABZADAH, Member

ALSO PRESENT:

CAMELIA MAZARD, Counsel for Licensee FARHAD MIRZADEH, Counsel for Licensee LANCE STEGLICH, Licensee INVESTIGATOR MARK BRASHEARS, ABRA

I	2
1	P-R-O-C-E-E-D-I-N-G-S
2	3:42 p.m.
3	CHAIRPERSON ANDERSON: All right.
4	We're back on the record. Our next case is
5	our next case is case is a fact-finding
6	hearing, On the Rocks, License Number 106695.
7	Would all of the parties please
8	approach and identify themselves for the record?
9	We can start with we'll start with the
10	investigator.
11	MR. BRASHEARS: Investigator Mark
12	Brashears, ABRA.
13	CHAIRPERSON ANDERSON: Good afternoon,
14	Mr. Brashears.
15	MS. MAZARD: Camelia Mazard, on behalf
16	of On the Rocks.
17	MR. STEGLICH: Lance Steglich,
18	owner/operator of On the Rocks.
19	FM: Farhad Mirzadeh I'm with On
20	the Rocks.
21	CHAIRPERSON ANDERSON: All right.
22	Good afternoon. And, I tried to greet everyone,

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but since you guys just -- whoosh. I'm trying to write the names down and say, good afternoon, good afternoon, good afternoon.

All right. This is a fact-finding hearing. And, one of the reasons why there is -we'd asked for a fact-finding hearing is that the licensee is requesting from the Board the authority to -- or the authorization to extend their hours.

I know that when their license was granted in, I think in 2017, I think, that I was concerned. Lots of Board members were concerned that there were some violations occurring. So, the Board decided to grant a license, but we --at the same time, we decided to put some limitations on the operation.

So, normally -- what I normally do is I usually start the process by asking the Investigator to start. But, in this particular case, I'm not going to do it this way. No. I'm not going to do it this way because it's -- we're not the one who's asking for something.

1	You're asking us for you're asking
2	the Board to change the status quo. So, why
3	should the it's not even two years. I don't
4	know what month in 2017 that we had approved the
5	license.
6	So, tell the purpose of this
7	hearing is for you to tell us why the why
8	should the Board decide to do that, if the Board
9	is so inclined to do that. And, I'm not and I
10	think, since we're changing out, maybe I'll have
11	some clarification from our legal counsel.
12	So, even if the Board is inclined to
13	do it, I guess the Board would have to determine
14	whether or not this is a substantial change.
15	And, if the Board determines it's a substantial
16	change, then it probably would have to I need
17	to get some clarification on that, if the Board
18	determines that. I guess it's an hour. You're
19	asking for an hour?
20	MS. MAZARD: Yes.
21	CHAIRPERSON ANDERSON: And so, whether
22	or not I guess we need to make a decision if

it's a substantial change. And, if we're going to 1 2 grant -- even if we're going to grant it, if it's a substantial change, then that has to go through 3 4 the protest period. But if the Board decides it's not a 5 6 substantial change, then I assume the Board can just grant it. So, before we started, let us 7 8 know where we -- what has changed since what was 9 presented to the Board in 2017 and why should the Board grant -- even consider this? 10 11 Chairman Anderson and MS. MAZARD: members of Board, I'm going to make a brief 12 13 opening statement, then I will call the 14 owner/operator up on the stand. But basically, in looking at the 15 16 guidelines for reinstating normal hours of 17 operation, this Board should consider what is in 18 the best interest of the locality, the section, 19 and the portion of the District of Columbia where the establishment is located. 20 21 In 2017, it was in August we had the 22 hearing here. And this Board imposed conditions

1	to OTR's license because it was concerned about
2	the character of the licensees. After that
3	hearing, the Board deemed them to be operational.
4	And we proposed a settlement whereby
5	we would end hours of operation and alcohol sales
6	at 1:00 a.m. on Sunday through Thursday and then,
7	at 2:00 a.m. on Fridays and Saturdays.
8	We also agreed, after the Board's
9	order, that we would only have entertainment and
10	dancing until midnight. When you look around at
11	the other establishments down on H Street
12	Northeast, it basically restricts On the Rocks'
13	ability to continue to employ D.C. residents,
14	most of which are Ward 6 and Ward 8 residents.
15	And it also hinders their ability to contribute
16	greater to the D.C. economy.
17	Since August of 2017, we were required
18	to keep a security plan on file. What the
19	licensee did was, they took extra steps beyond
20	the Board's order to ensure that they first,
21	they participate now through the MPD's
22	reimbursable detail program, three nights a week.

1	Second, they updated the security plan
2	and the system that was imposed back in 2017.
3	And it allows both the licensee, as well as the
4	ABC manager, to access the camera system via
5	mobile phone. Third, we hired a restaurant
6	management consultant.
7	And, what that person does is he
8	imposed background checks for all staff, now
9	mandates training for all staff on an annual
10	basis. And that includes the requirement that
11	the bartending staff go through the eTIPS
12	training.
13	We also have the new requirement,
14	since September of 2018, that basically, there's
15	these monthly staff meetings are followed by
16	training sessions. And also, they hold weekly,
17	dedicated security and management meetings.
18	Since August 2017, I would say that the
19	management consultant basically replaced about 50
20	percent of the staff.
21	So, when you take a look at all of the
22	additional steps that the licensee has taken,

1 you'll see that they have gone above to ensure 2 that On the Rocks is peacefully co-existing with the surrounding residents, the safety of its 3 4 patrons and any pedestrians. 5 So, we're asking you today to remove the restrictive conditions that were imposed in 6 7 August of 2017 and return to the existing hours 8 of operation that were before then, which is 2:00 9 a.m., Sundays through Thursdays, and until 3:00 a.m. on Fridays and Saturdays, consistent with 10 11 the neighboring establishments on H Street 12 Northeast. 13 CHAIRPERSON ANDERSON: Okay. 14 MS. MAZARD: So, first we'd like to call Mr. Lance Steglich, who is the 70 percent 15 16 owner of On the Rocks. 17 MR. STEGLICH: Good afternoon, 18 How are you guys doing? everyone. 19 CHAIRPERSON ANDERSON: Are you 20 planning to ask questions of him? 21 MS. MAZARD: Yes. I was going to ask 22 him questions.

1	CHAIRPERSON ANDERSON: Okay. Can
2	yeah. Go ahead.
3	MS. MAZARD: Mr. Steglich, please
4	state and spell your name for the record.
5	MR. STEGLICH: Lance, L-A-N-C-E,
6	Steglich.
7	CHAIRPERSON ANDERSON: Pull the
8	microphone closer to you.
9	MR. STEGLICH: Lance
10	CHAIRPERSON ANDERSON: And also,
11	because it's not contested, I'm not swearing him
12	in. So, I'm not putting him under oath because
13	it's
14	MS. MAZARD: It's very short.
15	CHAIRPERSON ANDERSON: No. No. I
16	understand. This is just a we're just
17	gathering information. Okay.
18	MS. MAZARD: All right. Mr. Steglich,
19	please tell us how long you've been affiliated
20	with On the Rocks.
21	MR. STEGLICH: Since the opening, two
22	years, going on two years next month.

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1	MS. MAZARD: And, can you please tell
2	us what steps you've taken to improve the place
3	since the Board's order?
4	MR. STEGLICH: Well, we just had a new
5	security system put in. We hired the
6	Metropolitan Policy to come on certain days of
7	the week, definitely on the weekends. We hired
8	new management. We have a consultant now to help
9	us out. That's about it, so far.
10	MS. MAZARD: And can you explain your
11	rationale for reinstatement of your prior hours?
12	MR. STEGLICH: We'll the hours have
13	been seem to be suffocating the business,
14	where everyone else is open until 3:00 a.m. A
15	lot of our customers are leaving out, going to
16	other establishments.
17	You know, the music is changing at
18	12:00 a.m. And, it's just been bad for the
19	business. A lot of customers are complaining.
20	You know, even staff members, you know, keep
21	asking, when are we going to get the hour back so
22	they can, you know, work longer hours and make

more revenue for their household. 1 2 MS. MAZARD: And how many employees would you say are at On the Rocks? 3 4 MR. STEGLICH: About 20. 5 And, on a --MS. MAZARD: CHAIRPERSON ANDERSON: 6 How many? MR. STEGLICH: 7 20. MS. MAZARD: And, how many of these 8 9 employees are D.C. residents? 80 percent of them. 10 MR. STEGLICH: 11 MS. MAZARD: And, do you know in which 12 Wards they live? MR. STEGLICH: 6, 7, and 8, mostly. 13 14 MS. MAZARD: Can you explain to us the 15 background of hiring the restaurant management 16 consultant? 17 MR. STEGLICH: Say that again? 18 MS. MAZARD: What was your rationale 19 for hiring the restaurant management consultant? 20 MR. STEGLICH: Just try to have the 21 establishment run a little bit more efficient, a 22 little more professional.

1	MS. MAZARD: What steps has the
2	management consultant implemented?
3	MR. STEGLICH: Basically, got rid of
4	half of the staff, implementing training
5	sessions, background checks. That's about it.
6	MS. MAZARD: And what percentage of
7	the time do you spend at On the Rocks?
8	MR. STEGLICH: Of the hours of
9	operation?
10	MS. MAZARD: What percentage of time
11	do you spend at the establishment?
12	MR. STEGLICH: You mean while it's
13	the business is open or just throughout the day
14	period?
15	MS. MAZARD: Both.
16	MR. STEGLICH: I would say half the
17	day I'm there.
18	MS. MAZARD: And what about your
19	management consultant?
20	MR. STEGLICH: A couple of hours
21	before the establishment opens, maybe an hour or
22	two before.

1	MS. MAZARD: I don't have any further
2	questions.
3	CHAIRPERSON ANDERSON: Any questions?
4	The Board has any questions of him? Go ahead,
5	Mr. Short.
6	MEMBER SHORT: Good afternoon, Mr.
7	Sledge.
8	MR. STEGLICH: Steglich.
9	MEMBER SHORT: Steglich.
10	MR. STEGLICH: Good afternoon, Mr.
11	Short.
12	MEMBER SHORT: How many floors are in
13	the building?
14	MR. STEGLICH: There are four floors
15	in the building.
16	MEMBER SHORT: How many of them are on
17	the Certificate of Occupancy?
18	MR. STEGLICH: Two.
19	MEMBER SHORT: What do you do on the
20	two floors that aren't on the Certificate of
21	Occupancy?
22	MR. STEGLICH: I mean, we sit up there

and some of the employees change their clothes. 1 2 There's a restroom up there. They use the Sometimes, I have, you know, 3 bathroom up there. family and friends, they come over. We go up 4 5 there. Well, you know you're 6 MEMBER SHORT: 7 not supposed to be using those if you don't have 8 them on your Certificate of Occupancy. And, if 9 you have family and friends up there and they bring a drink up there with them, then you're 10 11 really breaking the rules. Do they take a drink 12 up there when they go, from time to time? 13 MR. STEGLICH: Yeah. 14 MEMBER SHORT: Is that the first time you've heard this question from this Board, about 15 16 using the third and fourth floor? 17 MR. STEGLICH: Yes. I believe so. 18 MEMBER SHORT: You say you've never 19 heard -- excuse me? 20 MR. STEGLICH: I believe so. I don't 21 -- if you did before, I don't recall. 22 MEMBER SHORT: Is there a bar on the

third floor still? 1 2 MR. STEGLICH: The business -- when we purchased the business, it was set up that way, 3 Everything is set up the same way that it 4 ves. 5 was when we bought the business. Okay. And, some of the 6 MEMBER SHORT: problems we had, when you were talking about the 7 8 transfer when you were buying it, once you told 9 us the last people there ran into big trouble for using the third and fourth floor? 10 11 MR. STEGLICH: Well, we're not using 12 it for customers. 13 MEMBER SHORT: I didn't say customers. 14 You said family and friends. 15 MR. STEGLICH: Right. 16 MEMBER SHORT: And, they're drinking 17 up there, right? 18 MR. STEGLICH: Yes. 19 MEMBER SHORT: No further questions, Mr. Chair. 20 21 CHAIRPERSON ANDERSON: Go ahead, Mr. Alberti. 22

1	MEMBER ALBERTI: I just this is
2	just a clarifying question, Mr. Steglich. It's
3	my impression it's my understanding you don't
4	own the building?
5	MR. STEGLICH: No. We don't own the
6	building.
7	MEMBER ALBERTI: I just wanted to make
8	sure I understood that. Thank you.
9	CHAIRPERSON ANDERSON: Any questions
10	by any other Board members? Mr. Brashears, if
11	you have any questions you want to ask him?
12	MR. BRASHEARS: No.
13	MEMBER ALBERTI: Can I ask Mr.
14	Brashears some questions?
15	CHAIRPERSON ANDERSON: Yeah. I'm
16	going to have Mr. Brashears he's going to
17	I'm going to have him
18	MEMBER ALBERTI: Oh, okay.
19	CHAIRPERSON ANDERSON: Normally, I'd
20	go with the Investigator, but since they're
21	asking for it, I want them and then I'll you
22	can step down. Then, when I'm done with them,

I'm going to ask Mr. Brashears. 1 2 MR. STEGLICH: Thank you. 3 CHAIRPERSON ANDERSON: Do you have any 4 other witness or anything that you want to say? MS. MAZARD: That's our only witness, 5 6 Your Honor. CHAIRPERSON ANDERSON: Mr. Brashears, 7 can you -- are you familiar with this 8 9 establishment? 10 MR. BRASHEARS: I am. I am, sir. 11 CHAIRPERSON ANDERSON: And, can you tell us what, if anything, do you know about this 12 13 establishment, please? 14 MR. BRASHEARS: I was originally 15 tasked to conduct a camera review and a 16 walkthrough and an assessment in September of 17 2017, which I did. I was also asked to revisit 18 the establishment and conduct a walkthrough, 19 check the camera system, and to conduct a 20 regulatory inspection. On March 8th, 2019, I visited On the 21 Rocks and met with Mr. Steglich. I conducted a 22

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1	review, a camera review, and regulatory
2	inspection. The camera locations were
3	essentially the same as they were in 2017, with
4	minor changes.
5	A few of the camera angles had been,
6	in my opinion, had been improved. The cameras
7	themselves had been upgraded, much better
8	quality. The cameras are all hard-wired.
9	They're a combination of bullet and bubble
10	cameras, and all contain low-light capability.
11	As before, the video could be viewed
12	in the office, which is up on the rooftop. They
13	have a recording system capable of storing video
14	up to six weeks. During the walkthrough, I
15	didn't notice any obvious black spots or issues
16	with the camera system.
17	Mr. Steglich also mentioned the
18	possibility of, at some point, adding more
19	cameras. As for the regulatory inspection, the
20	licenses, all the licenses were current. They
21	were displayed appropriately.
22	Mr. Steglich also showed me the

establishment's alcohol invoices. He had he 1 2 proper amount and they were maintained for the proper period of time. During my visit, I did 3 not assess any ABRA violations. While I was 4 5 there, I did speak with Mr. Steglich concerning the use of the third and fourth floors. 6 7 As, from my last visit -- my last 8 visit, the bar was there, but it wasn't set up 9 and the area was a different color. So now, the color -- the area's been painted. The bar is 10 fully stocked and appeared there was equipment 11 12 for, you know, processing pay. 13 I informed Mr. Steglich that, 14 obviously, you're not supposed to use the third or fourth floor, unless they were on the 15 16 Certificate of Occupancy. And he stated that he 17 was working on that. 18 The issue I had with that is it 19 clearly looked as though it had been used. 20 However, since I did not visually see anyone 21 utilizing it, I did not issue a violation. 22 However, that was pretty much the same

1	conversation we had had back in September of
2	2017, that it would be improved. I also noted,
3	the roof deck one side of the roof deck had
4	been just roofing.
5	And now, it's a flooring material and
6	there's a table and chairs up there. It appears
7	to be set up as a roof-type deck or Summer
8	garden. There's no endorsement for that.
9	When I checked ABRA records, I don't
10	see any substantial change, documentation, to add
11	the third or fourth floor or to use the roof
12	deck. Again, since I didn't see anyone in
13	operation or did not see it in operation, I
14	basically mentioned it.
15	However, one of my co-workers, who
16	routinely monitors social media, has stated that
17	he has seen social media issues where the third
18	floor was being utilized. So, that was my only
19	real concern.
20	And then, of course, the height of the
21	side rails on the roof deck I'm not sure. I'm
22	not sure if it's to Code or whatnot. So, I was

going to have DCRA to visit to make sure that the
roof deck had been constructed appropriately and
met whatever requirements they had.

So, overall, the camera review was --4 5 you know, the camera system seemed very adequate. Mr. Steglich has the ability to view it on site 6 and I believe from mobile devices as well. 7 And 8 everything seemed to be in order, with the 9 exception of the potential, substantial changes. CHAIRPERSON ANDERSON: You still have 10 a question, Mr. Alberti? 11 12 MEMBER ALBERTI: Yeah. Thank you, Mr. 13 Brashears, for your work on this and thank you 14 for these pictures. Do you have it in front of 15 you, your report? 16 MR. BRASHEARS: Yes, sir. 17 MEMBER ALBERTI: I want to go to 18 Exhibit 16. 19 Can we get a copy of it? MS. MAZARD: 20 MEMBER ALBERTI: Of his report? 21 MS. MAZARD: Yes. 22 With pictures? MEMBER ALBERTI:

1	MS. MAZARD: Yes.
2	MEMBER ALBERTI: You don't have a
3	copy?
4	MS. MAZARD: No.
5	CHAIRPERSON ANDERSON: Why don't we
6	give her about
7	MEMBER ALBERTI: Sure.
8	CHAIRPERSON ANDERSON: About three,
9	four, minutes? You can review it and then you
10	have never seen you have never seen this
11	report before? Go ahead, Mr. Alberti.
12	MEMBER ALBERTI: Okay. So, can we
13	turn to Exhibit 16? I don't want to belabor this
14	matter.
15	MR. BRASHEARS: Yes, sir.
16	MEMBER ALBERTI: According to your
17	ledger at the front, this the third floor. Is
18	that correct?
19	MR. BRASHEARS: Yes, sir.
20	MEMBER ALBERTI: All right. And up on
21	the shelf, there's a well, it looks like
22	bottles of wine. But would you can you

remember whether they're bottles of alcohol, 1 2 alcoholic beverages? MR. BRASHEARS: I believe they were, 3 4 sir. 5 Okay. Do you know MEMBER ALBERTI: how much was up there? 6 MR. BRASHEARS: Basically, I mean, the 7 8 9 MEMBER ALBERTI: If you don't 10 remember, that's fine. 11 MR. BRASHEARS: No. The concentration 12 of the photo was for the camera. But as I 13 recall, it may have -- just the top row. 14 MEMBER ALBERTI: Okay. Exhibit 19? 15 MR. BRASHEARS: Yes, sir. 16 MEMBER ALBERTI: So, this is the 17 fourth floor, according to your ledger. Is that 18 correct? 19 MR. BRASHEARS: Yes, sir. This is the 20 office/alcohol storage area. 21 MEMBER ALBERTI: How do you know that? MR. BRASHEARS: Because he mentioned 22

1	it, sir, when we went up.
2	MEMBER ALBERTI: That this is the
3	alcohol storage area?
4	MR. BRASHEARS: Well, yes, sir.
5	MEMBER ALBERTI: All right. I'll
6	address that later. When you say social media,
7	do you know where on social media?
8	MR. BRASHEARS: I believe it was an
9	Instagram account that I was told was up briefly,
10	for 24 hours.
11	MEMBER ALBERTI: It's still there.
12	MR. BRASHEARS: Oh, it is?
13	MEMBER ALBERTI: Well, I just saw the
14	picture.
15	MR. BRASHEARS: Okay. I was basically
16	told. I didn't do it. A fellow co-worker just
17	mentioned it. And again, since I didn't witness
18	it myself, I didn't issue any type of violation.
19	However, I forgot to mention, the
20	following Wednesday, I had two ABRA investigators
21	go by the establishment to physically check.
22	And, at that time, the third floor was not being

utilized.

1

2	MEMBER ALBERTI: Okay. All right.
3	And, for the record, I do recognize H Street from
4	that vantage point. Okay. I have no further
5	questions of the Investigator.
6	CHAIRPERSON ANDERSON: Anyone have any
7	questions of Mr. Brashears? Any questions of Mr.
8	Brashears?
9	MS. MAZARD: Can you give us a copy of
10	the social media post or let us know where to
11	find the social media post that references the
12	third floor?
13	CHAIRPERSON ANDERSON: I don't know
14	what folks are talking about. I don't have I
15	don't well
16	MEMBER ALBERTI: It's in Instagram.
17	If you I if you Google I will tell you.
18	I Googled On the Rocks, and discovered something-
19	little does an Instagram post. And, in there,
20	within the collection of pictures, there is a
21	photo of what appears to be patrons on the fourth
22	floor, with the new flooring. And, I'm having

trouble finding it again, but anyways. 1 2 CHAIRPERSON ANDERSON: That's not in the record. 3 4 MEMBER ALBERTI: All right. 5 CHAIRPERSON ANDERSON: So, I don't know what it is. What's being referenced? 6 It's 7 not in any of the records that's in front of us. 8 So, I don't have a copy in front of me and I 9 don't have a computer to go Google to look at. So -- but that's why I'm saying, it's 10 not information that's before -- that's before 11 12 this Board at this juncture. 13 MS. MAZARD: Can I recall Mr. 14 Steglich? CHAIRPERSON ANDERSON: 15 You can. I'm 16 just saying, do you have any other questions for 17 Mr. Brashears? So, you're here and you can do 18 whatever, whichever way you believe that you need 19 to do. 20 MS. MAZARD: So, Mr. Steglich, photo 21 number 16 in the packet is that third floor. 22 MR. STEGLICH: Can I see the picture?

1 Yes. Yes. Yes. 2 MS. MAZARD: And, photo number 7, which floor is this? 3 MR. STEGLICH: That's the first floor. 4 5 MS. MAZARD: Can you explain the difference between the first floor and the third 6 7 floor? 8 CHAIRPERSON ANDERSON: I don't -- what 9 two pictures are you asking him to look at? MS. MAZARD: Number 7. 10 11 CHAIRPERSON ANDERSON: Oh, 7. 12 MR. STEGLICH: Okay. Well, number 7 13 is a fully-stocked bar, with numerous different 14 brands of --CHAIRPERSON ANDERSON: Yeah. 15 Speak in 16 the microphone, sir, please. 17 MR. STEGLICH: Number 7 is a full-18 stocked bar, with several different brands of 19 alcohol. I mean, there's 20 different brands of 20 alcohol. 21 Number 16 is just one brand, two brands, that are in that picture. So, it's 22

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basically not fully stocked. It does have, you 1 2 know, stuff on the shelf, but it's not a fullystocked bar. 3 4 MS. MAZARD: Have you taken any steps 5 with AFC to try to change the occupancy of the third and fourth floor? 6 7 MR. STEGLICH: Yes. 8 MS. MAZARD: And what is that? 9 MR. STEGLICH: Well, we just did, 10 basically, a meet and greet. Me and the new management went down just to, you know, to talk 11 12 with them and just basically, introduce ourselves 13 to them, make them know what we're doing in the 14 community. And what does your 15 MS. MAZARD: 16 current settlement agreement say about the third 17 and fourth floors? 18 MR. STEGLICH: It's zoned residential. 19 MS. MAZARD: And so, do you currently 20 use the third floor or the rooftop for food 21 preparation? 22 MR. STEGLICH: No.

1 MS. MAZARD: Do you currently use it 2 for patron seating? MR. STEGLICH: 3 No. 4 MS. MAZARD: Do you currently use it 5 for any service of alcohol? MR. STEGLICH: 6 No. 7 MS. MAZARD: Thank you. I have no 8 further questions. 9 CHAIRPERSON ANDERSON: Sure. Go ahead, Mr. Alberti. 10 11 MEMBER ALBERTI: Mr. Steglich, it was 12 mentioned that there was new flooring on the roof deck on the fourth floor. 13 14 MR. STEGLICH: Yes. We had a leak. 15 The landlord redid the whole thing. 16 MEMBER ALBERTI: Okay. Do you know if 17 he got construction permits for that? 18 MR. STEGLICH: I do not know. 19 So, the landlord's MEMBER ALBERTI: 20 responsible for getting that done, right? Yes, sir. 21 MR. STEGLICH: 22 So, the landlord's MEMBER ALBERTI:

1 going to be responsible for getting the CFO, if 2 you -- for --MR. STEGLICH: The third and fourth 3 4 floor? 5 MEMBER ALBERTI: Providing the third and fourth floor with a CFO? 6 7 MR. STEGLICH: No. I'm going to --8 the business will be responsible for that. 9 MEMBER ALBERTI: Well, okay. That's 10 interesting. MR. STEGLICH: You said, for getting 11 12 the new CFO? 13 MEMBER ALBERTI: Well, the CFO is in 14 his name. I mean, he owns the building. So --15 MR. STEGLICH: The CFO is in SMG 16 Development or On the Rocks, LLC? 17 MEMBER ALBERTI: SMG -- so, he's the 18 owner of the building? 19 MR. STEGLICH: Yes. 20 MEMBER ALBERTI: Okay. All right. Ι 21 digress here. So, how do you use the third 22 floor? Do you use it for alcohol storage?

1	MR. STEGLICH: No.
2	MEMBER ALBERTI: Then, why is there
3	alcohol there?
4	MR. STEGLICH: There was an office
5	already in the building from the previous owner.
6	And that's where they had stored everything and
7	that's where I have continued to just keep
8	everything, on the rooftop.
9	MEMBER ALBERTI: Okay. On the third
10	floor?
11	MR. STEGLICH: The fourth floor.
12	MEMBER ALBERTI: The third floor
13	this picture of the third floor has alcohol
14	bottles on the shelf.
15	MR. STEGLICH: Yes. Yes.
16	MEMBER ALBERTI: Do you use that to
17	store alcohol up there?
18	MR. STEGLICH: If you want to say that
19	that's storing it, then, yes. The bottles are on
20	the shelf.
21	MEMBER ALBERTI: Well, how would you
22	categorize it?

I	
1	MR. STEGLICH: Storage I guess you
2	can say it's storage, sir.
3	MEMBER ALBERTI: All right. From the
4	comment, I thought maybe you were disagreeing
5	with my characterization of it. Fourth floor
6	in the picture at 19 of the fourth floor, do you
7	use the fourth floor to store alcohol?
8	MR. STEGLICH: There's an office in
9	the back of the fourth floor. Yes.
10	MEMBER ALBERTI: And you do store
11	alcohol up there?
12	MR. STEGLICH: Yes.
13	MEMBER ALBERTI: Well, just for the
14	record, Mr. Steglich, you it is not allowable,
15	not legal, to store alcohol in portions of a
16	building that are not licensed, without express
17	permission of the Board.
18	MR. STEGLICH: Okay.
19	MEMBER ALBERTI: That's why I'm asking
20	those questions. I have no further questions.
21	CHAIRPERSON ANDERSON: Yes, Mr. Short?
22	MEMBER SHORT: While we're at it, just

talking about storage, what did you say the 1 occupancy was for the third and fourth floor? 2 3 MR. STEGLICH: Can you repeat that, 4 sir? 5 MEMBER SHORT: You mentioned the occupancy for the third and fourth floor as being 6 Is that correct? 7 residential. 8 MR. STEGLICH: Yes, it is. It's zoned 9 residential. 10 MEMBER SHORT: So, that's not zoned 11 for storage of alcohol, correct? 12 MR. STEGLICH: Correct, sir. 13 MEMBER SHORT: So, why are you storing 14 it up there if it's not zoned for it, if it's not a part of your business and it's residential? 15 16 So, what you're doing is, you've painted yourself 17 into a corner so much. 18 Because the last person who had the 19 CFO for the same address, ran into the same kind 20 of problems. And, then we learned they were 21 attempting to hold events up there on the third and fourth floor, because they said it was just 22

too crowded downstairs and they couldn't help it. 1 2 And they ran into big problems. Well, I hope you don't have the same problem they had. 3 Because today you're here asking us to give you 4 5 something different and improve your business, but you're not working too much to help us. 6 7 If you're doing things illegal now, 8 and then you come in here and ask us to give you 9 longer hours? That's -- we reward persons and 10 licenses when they do things right, not when they 11 do things wrong. 12 Your predecessors -- I think they 13 operated for -- without even a license for a 14 while. They just opened up and they applied for a license, but then they just opened up. 15 16 MEMBER ALBERTI: No. That was him. 17 MEMBER SHORT: That was you? Was that 18 you that did that? 19 MR. STEGLICH: That was my other 20 partner, Joseph Crawford. 21 MEMBER SHORT: That's still in your business? 22

1	MR. STEGLICH: Yes.
2	MEMBER SHORT: No further questions,
3	Mr. Chair.
4	CHAIRPERSON ANDERSON: Any other
5	questions by any other Board members? You can
6	do you have any questions of him you want to ask?
7	MS. MAZARD: I do not, Your Honor.
8	CHAIRPERSON ANDERSON: You can step
9	down, sir.
10	MR. STEGLICH: Thank you.
11	CHAIRPERSON ANDERSON: Any questions?
12	Any Board member, any other Board member have any
13	questions for anyone? Any questions or any final
14	do you want to make, Mr. Brashears?
15	MR. BRASHEARS: No, sir.
16	CHAIRPERSON ANDERSON: The floor is
17	yours.
18	MS. MAZARD: Chairman Anderson and
19	Members of the Board, let me just highlight a few
20	things in closing. The Board is supposed to look
21	at what would be in the best interests of the
22	locality, the section, and the portion of D.C.

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where the establishment is located.

2 Since August of 2017, Mr. Steglich has been working hard to beef up security, to get the 3 staff up to speed, requiring that bartending 4 staff go through rigorous ABRA training, hiring 5 MPD reimbursable offices to ensure the safety of 6 pedestrians and patrons. 7 He's also been working with the ABC 8 9 Licensing Committee, as well as the ANC in the 10 area, to change the current settlement agreement. 11 As the settlement agreement now stands, it says 12 that the Applicant may not use the rooftop nor 13 the third floor for storage, food preparation, 14 patron seating, or service of alcohol. 15 We're not asking that to be changed 16 here. We're just asking that he get one more 17 hour on Sunday through Thursdays, as well as on 18 Fridays and Saturdays, to extend until 3:00 in 19 the morning, just to be consistent with the other 20 establishments on H Street Northeast. 21 He's been a great citizen, good for 22 employment. He does community service in the

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area, takes care of the alleys in the neighborhood. And, in order to help the patrons, as well as the current employees, we're asking that you reinstate his hours to the prior standard.

CHAIRPERSON ANDERSON: All right. 6 7 Thank you. All right. The Board will take this 8 matter under advisement. One thing that I would 9 suggest, irrespective of whatever decision the 10 Board makes, in order to maintain to be a good 11 business, I would recommend that you find out 12 whatever -- you reach out to the Board and to 13 find out -- and I'm not sure that there's an 14 allegation about whether or not the alcohol can 15 be stored.

I'm not an expert on that, where the alcohol can be stored. But I'd ask that you reach out to the Board immediately and sit with them and get some type of training on what -- the do's and don'ts, whether or not alcohol can be stored or like, under what circumstances can alcohol be stored and, in any location in the

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business.

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2	And so, I'd ask that you afford
3	yourself of that. The Board is here to I'm
4	sorry. The Agency is here to work with the
5	licensee. The Agency is not here today to ding
6	the person.
7	So, I would ask that you afford
8	yourselves to be on top of the law, to see what
9	that is. So, as I said before, the Board will
10	take this matter under advisement and we'll issue
11	a decision. Okay. Thank you.
12	(Whereupon, the hearing in the above
13	matter went off the record at 4:17 p.m.)
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CERTIFICATE

This is to certify that the foregoing transcript

In the matter of: On the Rocks

Before: DCABRA

Date: 03-20-19

Place: Washington, DC

was duly recorded and accurately transcribed under my direction; further, that said transcript is a true and accurate record of the proceedings.

near Lans &

Court Reporter

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